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# *THE GROWING PRACTICE OF DENTAL ANESTHESIOLOGY AND SAFETY*

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# OBJECTIVES

- *The audience will review the history of dental anesthesia*
- *The audience will be able to describe the various ways in which anesthesia is currently provided for dental care*
- *The audience will review safety guidelines for the practice of dental anesthesia*

# DISCLOSURES

- NO Financial Disclosure
- Have worked closely with a merican Academy of Pediatrics , California Society of Anesthesiologists American Society of Dentist Anesthesiologists , California Society of Dentists Anesthesiologists on dental safety













# Six-year-old boy dies getting tooth extracted

**Posted:** 6:48 PM, Mar 30, 2016 **Updated:** 1:02 PM, Apr 02, 2016

**By:** Robert Santos



**10News Leader Award**

WAIT WHAT???

- Why the heck was no-one doing anything????



## SINGLE OPERATOR MODEL

- One “Anesthesia Permit Holder” directs and anesthesia and performs the surgery/procedure
- Dental assistant “monitors”
- Confusing and variable terminology



Finley dies after “oral moderate sedation”



# HISTORY OF DENTAL ANESTHESIA

- 1990's American Society of Dentist Anesthesiology
- New Dentist Anesthesiology Residency Programs
- OFMS residency now 6 years with 5 months of anesthesia + office based sedation
- Rise of the Single Operator/Surgeon Anesthetist/Anesthesia Team Model



22 yo old Jared

# DENTIST ANESTHESIOLOGIST

- 3 years of residency after dental school
- 8 Dental Anesthesia residencies in the USA
- 1 in Toronto
- American Dental Association's National Commission on Recognition of Dental Specialties and Certifying Boards recognizes dental anesthesiology 2019

<https://www.adba.org>

[https://www.ada.org/~media/CODA/Files/Dental\\_Anesthesiology\\_Standards.pdf?la=en](https://www.ada.org/~media/CODA/Files/Dental_Anesthesiology_Standards.pdf?la=en)



# SURGEON/ ANESTHETIST MODEL

- Oral surgeon or dentist perform procedure and anesthesia
- Dental assistants watch monitors
- Dental Assistants have minimal medical education and training



Salomen Barthos Jr.

## SINGLE OPERATOR MODEL

- Dental Anesthesia Assistant National Certification Examination (DAANCE)
- **36 hours online education**



Pediatrics April 2018, VOLUME 141 / ISSUE 4 Family Partnerships Concerns Regarding the Single Operator Model of Sedation in Young Children Rita Agarwal, Anna Kaplan, Raeford Brown, Charles J. Coté



Table 1: Level of education required in Dental Paraprofessional Positions

Level of education	Basic	Advanced
Dental Assistant	High School	On-the job
Dental Anesthesia Assistant (DAANCE)	High School, 6 months practice	On-line education (36 hours), National Examination
Dental Sedation Assistant (California only)	High School, 12 months practice	On site hands on and online education, (110 hours) State Examination
Dental Hygienist	2-4-year	Associate or Bachelor's degree, National Certifying Exam

# PROBLEM:



Different standard of care and practice in dental sedation



State to State variability



Excessive morbidity and mortality



Lack of transparency



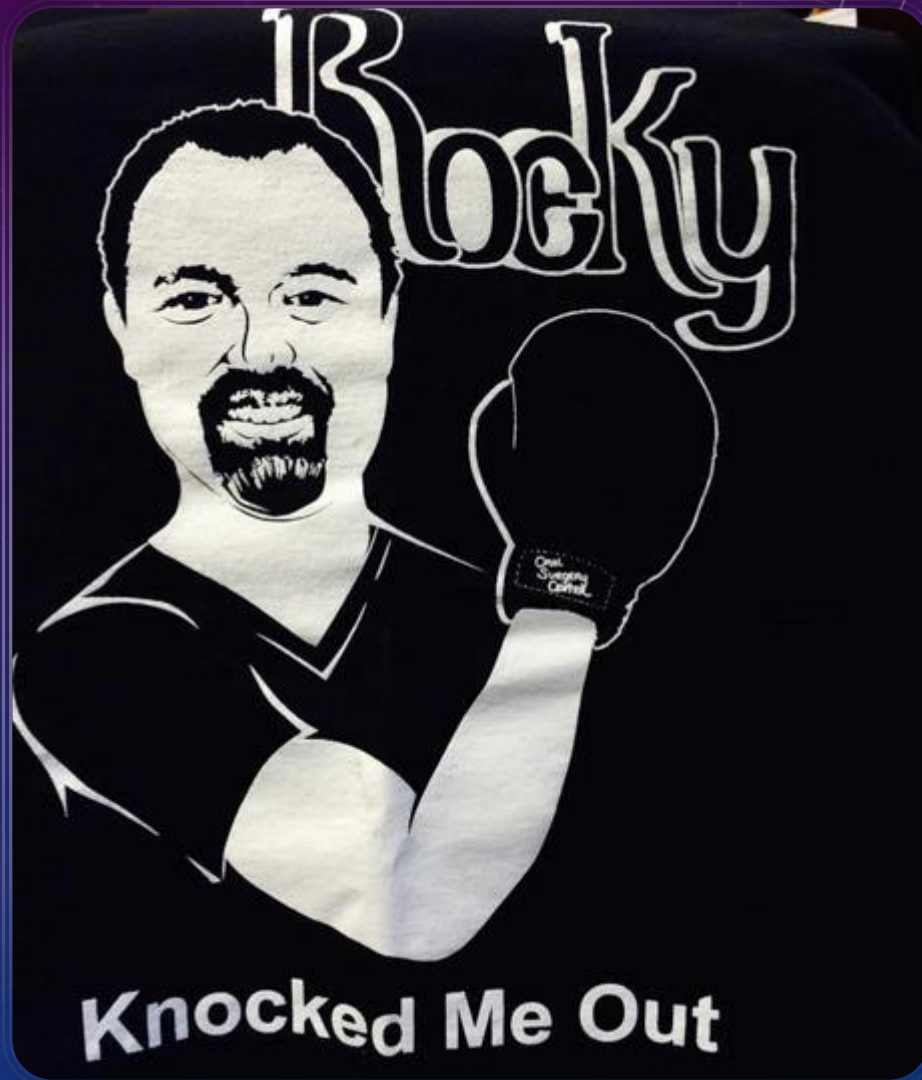
# CURRENT STATE IN DENTISTRY

- States do not track deaths or adverse events
  - Mandatory Reporting Death or Hospital Transfer , Report to the Dental Board of California within 7 days:
  - "(A)the death ...during the performance of any dental or dental hygiene procedure;
  - (B) the discovery of the death of a patient whose death is related to a dental or dental hygiene ...
  - (C) except for a scheduled hospitalization, the removal to a hospital or emergency center for medical treatment of any patient ...

<https://www.saferanesthesia.com/california-dental-sedation-laws> BPC Division 2, Chapter 4, Article 1, Section 1601.2

# ANESTHESIA PERMIT HOLDERS?

- Oral Maxillofacial Surgeons
- Anesthesiologists, CRNAs, CAAs
- Dentist Anesthesiologist
- Dentist with “Anesthesia Permit”







Daleyza Hernandez-Avila

# WHAT IS THE INCIDENCE OF ADVERSE EVENTS?

- NO-ONE knows:
  - Oral and Maxillofacial Surgery National Insurance Company study: death or serious neurologic injury = **1:348 602**
  - **One death every 4-6 weeks**

Pediatrics April 2018, VOLUME 141 / ISSUE 4 Family Partnerships Concerns Regarding the Single Operator Model of Sedation in Young Children

How **safe** is deep sedation or general **anesthesia** while providing **dental** care?.  
Am Dent Assoc. 2015 Sep;146(9):705-8.

MORTAZAVI H, ET.AL. DEATH RATE OF DENTAL ANAESTHESIA. *J CLIN DIAGN RES*. 2017;11(6):2017/24813.10009

[Table/Fig-1]:

Comparison of dental anaesthesia- related mortality rates since 1955 [2,8-29].

Author	Type of anaesthesia	Death: People	Approximate mortality rate	Mortality rate per 1000000
Seldin [12]	General	15:2429148	1:161943	6.2
Seldin [13]	General, Local	59:7956627	1:134858	7.4
Driscoll [14]	General	5:1575000	1:315000	3.2
Driscoll [15]	General, Sedation, Local	11:5285570	1:480506	2
Tomlin [10]	General, Sedation, Local	29:7956000	1:274344	3.6
Lytle [16]	General	3:1295000	1:431666	2.3
Lytle [17]	General	0:1285000	0:1285000	-
Copland [18]	General	56:14473000	1:258446	3.9
Lytle [19]	General	7:4700000	1:672000	1.4
D'Eramo [20]	General, Sedation, Local	2:2082805	1:1000000	0.9
Flick [21]	General, Sedation	1:151355	1: 151355	6.6
Nkansah [22]	General, Sedation	4:2830000	1:707500	1.4
Hunter [23]	General	0:1126	0: 11226	-
D'Eramo [8]	General, Sedation	0:1588365	0:1588365	-
Deegan [24]	General, Sedation	19:14206923	1:747000	1
D'Eramo [2]	General, Sedation, Local	2:1706100	1:853000	1.1
Lee [25]	General	0:22615	0: 22615	-
Rodgers [26]	Sedation	0:2889	0: 2889	-
Flick [27]	General, Sedation	2:115940	1:57970	17
D'Eramo [9]	General, Sedation, Local	1:1733055	1:1733000	0.5
Braidy [28]	Sedation	0:1167	0:1167	-
Rodgers [29]	Sedation	0:3320	0: 3320	-
Qiam [11]	General	2:34277	1:1639	610
Total	General, Sedation, Local	218: 71435282	1:327684	3

Total: 3:1,000,000  
1:327,684



- Started in 2005 as a component of the Society for Pediatric Anesthesia by Don Tyler MD
- The purpose of Wake up Safe is to improve processes of care and outcomes for newborns, infants, and children in the perioperative environment.
  - To define and measure Quality, and develop Quality Improvement Systems in Pediatric Anesthesia to develop ways of measuring quality in pediatric anesthesia care.
  - To provide data to allow research about adverse events in pediatric perioperative care.
  - Develop a registry, analyze and devise strategies to prevent of adverse events in pediatric perioperative care.
- **Wake Up Safe estimate: 0 : ~2million**



# PEDIATRIC SEDATION RESEARCH CONSORTIUM

- NO anesthesia or sedation related deaths or serious adverse events in 500,000 reported cases
- Adverse events did occur, but were safely managed

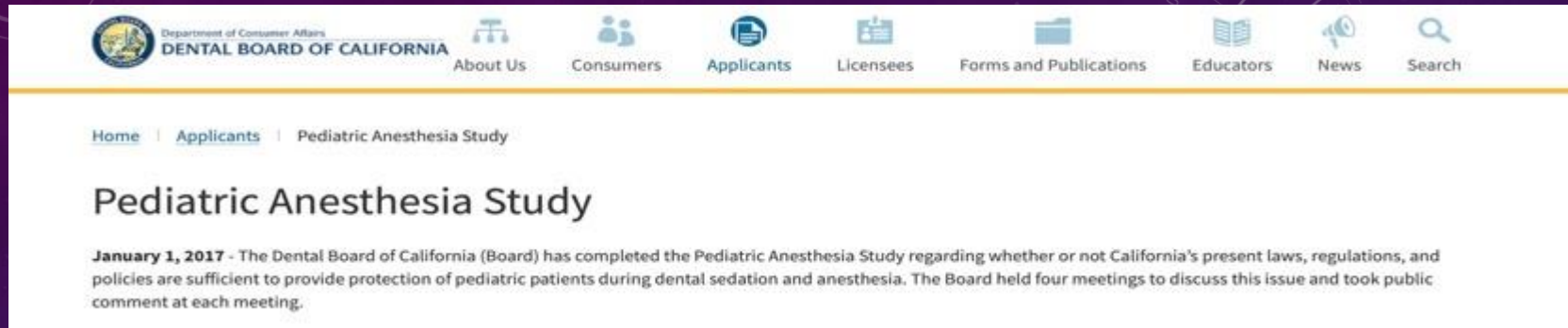


# CALEB'S LAW

- <http://www.calebslaw.org>

## Part 1 :

1. Study by California Dental Board on Pediatric Dental Anesthesia Safety
2. Consent re different practice model
3. Epidemiological data collection



1. High quality pediatric outcomes **data**
2. Update definitions to follow ASA
3. Restructure the dental sedation and anesthesia permit system
4. Update equipment and records
5. Collect data that will allow the future study

[https://www.dbc.ca.gov/formspubs/pediatric\\_recommendations.pdf](https://www.dbc.ca.gov/formspubs/pediatric_recommendations.pdf)



# CALEB'S LAW



- <http://www.calebslaw.org>
- Part 2: Codifying CDB recommendations
  - Separate qualified provider
  - High quality data collection

CALEB'S LAW

DID NOT PASS

- Part 2: Codifying CDB recommendations
  - separate qualified provider
  - High quality data collection

**Dental lobby prevails again: Grieving parents shelve Caleb's Law rather than dilute it**

# WHY DIDN'T CALEB'S LAW PASS?

- Limits Access to Care
- “Oh there’s no proof that having a separate person providing sedation and anesthesia is actually safer in anesthesia “





# GUIDELINES CHANGED!

- For Deep Sedation and General Anesthesia there must be one qualified independent anesthesia provider
- At least one other person who is PALS or APLES trained

Pediatrics  
June 2019, VOLUME 143 / ISSUE 6  
From the American Academy of Pediatrics

## Guidelines for Monitoring and Management of Pediatric Patients Before, During, and After Sedation for Diagnostic and Therapeutic Procedures

Charles J. Coté, Stephen Wilson, AMERICAN ACADEMY OF PEDIATRICS, AMERICAN ACADEMY OF PEDIATRIC DENTISTRY

Article

Figures & Data

Supplemental

Info & Metrics

Comments

## AAOMS RESPONSE

“overly restrictive guidelines based on **hyperbole, opinion**, and fueled by **emotion.....** will do significant harm by reducing access to care, **by increasing cost**, and limiting resources



Marvelena Rady

### **Preventable? Anesthesia for children can be deadly at dentist's office**

On July 16, 2016, Marvelena Rady died while under general anesthesia at her dentist's office.



THE UNIQUE OMS ANESTHESIA TEAM MODEL  
HAS CONSISTENTLY BEEN PROVEN AS SAFE,  
EFFECTIVE AND AFFORDABLE..



- Mortality = 1:327,684
- 1 death every 4-6 weeks



# OTHER ISSUES WITH DENTAL ANESTHESIA SEDATION

- Mykel received a “shot” from an anesthesiologist
- Did not wake up



# 'I JUST WANT ANSWERS': MOM DEVASTATED AFTER TODDLER DIES IN DENTAL PROCEDURE

APRIL 1, 2016, 11:05 AM PDT / SOURCE: TODAY  
BY LINDA CARROLL

Dental caries in kids are epidemic levels,  
AAPD: tooth decay common chronic childhood disease

Pediatrics December 2017, VOLUME 140 / ISSUE 6  
Ethics Rounds Ethics Rounds: Death After Pediatric Dental Anesthesia: An Avoidable Tragedy? Helen Lee, Peter Milgrom, Colleen E. Huebner, Philip Weinstein, Wylie Burke, Erika Blacksher, John D. Lantos



# TODDLER WHO DIED AFTER DENTAL PROCEDURE WAS HOOKED UP TO EMPTY OXYGEN TANK AS STAFF MUTED HEART ALARM

*JAN 2018*

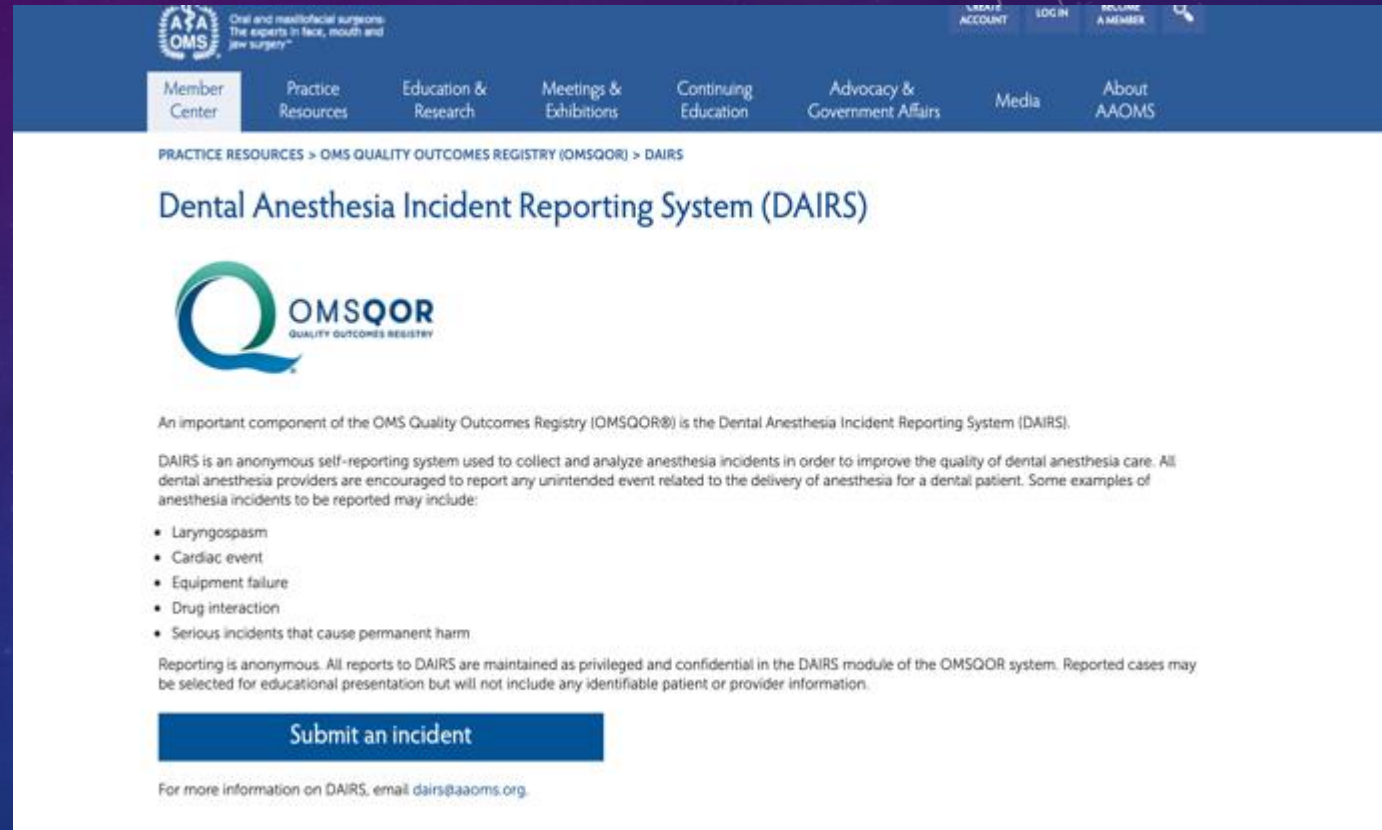


“the alarm went off an additional three to four times – each time silenced by the Kool Smiles staff member – until the staff member finally removed the monitor from Zion and stated that the device does not work on children,”



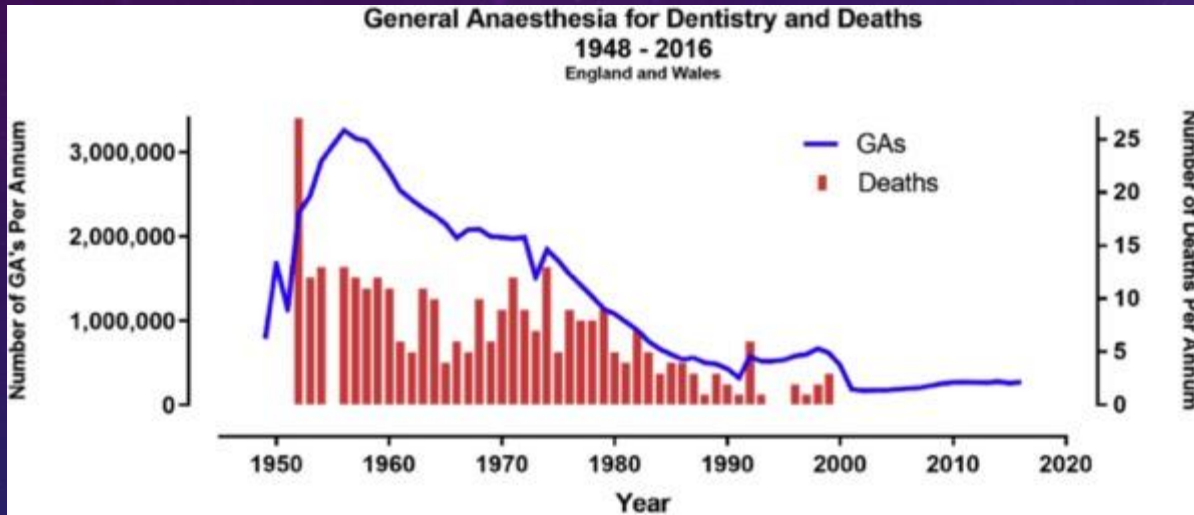
# DENTAL ANESTHESIA INCIDENT REPORTING SYSTEM- DAIRS

- Voluntary
- “Modeled” on the Anesthesia Incident Reporting System
- No Denominator
- So far no data



The screenshot shows the homepage of the Dental Anesthesia Incident Reporting System (DAIRS). At the top is a blue navigation bar with the ASA OMS logo and text: "Oral and maxillofacial surgeons. The experts in face, mouth and jaw surgery." Navigation links include "Member Center", "Practice Resources", "Education & Research", "Meetings & Exhibitions", "Continuing Education", "Advocacy & Government Affairs", "Media", and "About AAOMS". Below the navigation bar, the breadcrumb trail reads "PRACTICE RESOURCES > OMS QUALITY OUTCOMES REGISTRY (OMSQOR) > DAIRS". The main heading is "Dental Anesthesia Incident Reporting System (DAIRS)". Below this is the OMSQOR logo, which consists of a stylized 'Q' and the text "OMSQOR QUALITY OUTCOMES REGISTRY". A paragraph states: "An important component of the OMS Quality Outcomes Registry (OMSQOR®) is the Dental Anesthesia Incident Reporting System (DAIRS). DAIRS is an anonymous self-reporting system used to collect and analyze anesthesia incidents in order to improve the quality of dental anesthesia care. All dental anesthesia providers are encouraged to report any unintended event related to the delivery of anesthesia for a dental patient. Some examples of anesthesia incidents to be reported may include:" followed by a bulleted list: "Laryngospasm", "Cardiac event", "Equipment failure", "Drug interaction", and "Serious incidents that cause permanent harm". Another paragraph states: "Reporting is anonymous. All reports to DAIRS are maintained as privileged and confidential in the DAIRS module of the OMSQOR system. Reported cases may be selected for educational presentation but will not include any identifiable patient or provider information." At the bottom is a blue button labeled "Submit an incident". Below the button, it says: "For more information on DAIRS, email dairs@aaoms.org."

# DEATHS ASSOCIATED WITH GA FOR DENTISTRY 1948 – 2016: THE EVOLUTION OF A POLICY FOR GENERAL ANAESTHESIA (GA) FOR DENTAL TREATMENT, HELIYON JAN 2020



- “Prior to 2001 there is a strong correlation between the number of GA's per annum and deaths. Since 2001, when the UK government directed that all GAs for dentistry must be administered in a hospital with Intensive Care facilities the number of deaths per annum has reduced to nil.”

# SO WHAT CAN WE DO?

- EDUCATE, EDUCATE EDUCATE
- COLLABORATE
- Urge good DATA Collection Tools,
- Strong NROA guidelines that apply to all practitioners and all location
- Use SoMe to spread the word @ritaagarwal6

## Updated Guidelines on Dental Sedation Address Concerns Over Safety for Pediatric Patients

*For patients undergoing deep sedation, it is recommended that an independent skilled observer be present.*

The American Academy of Pediatrics (AAP) has updated its guidance on sedation for dental procedures in children in a clinical report written in conjunction with the American Academy of Pediatric Dentistry.

The report, "[Guidelines for Monitoring and Management of Pediatric Patients Before, During and After Sedation for Diagnostic and Therapeutic Procedures](#)," will be published in the June 2019 issue of *Pediatrics*.



## DENTAL ANESTHESIA AND SEDATION: WHERE ARE WE NOW?

by Agarwal, Rita, MD, FAAP, FASA | Feb 04, 2019

[Leave a comment](#)



In 2015, healthy, happy 6 ½ year Caleb Sears suffered a cardiac arrest in his oral surgeon's office after receiving midazolam, ketamine, propofol, fentanyl, and nitrous oxide, and became apneic. He later passed away. His devastated family could not understand how this tragedy had happened and set about trying to change California law that governs the administration and monitoring of anesthesia and sedation provided by

## An Anesthesiologist's Thoughts on Dental Sedation Laws

5min read





# Dental Sedation Kills 4-Year-Old Who Might Have Been Saved By A Toothbrush

November 10, 2017

By Paul C. McLean



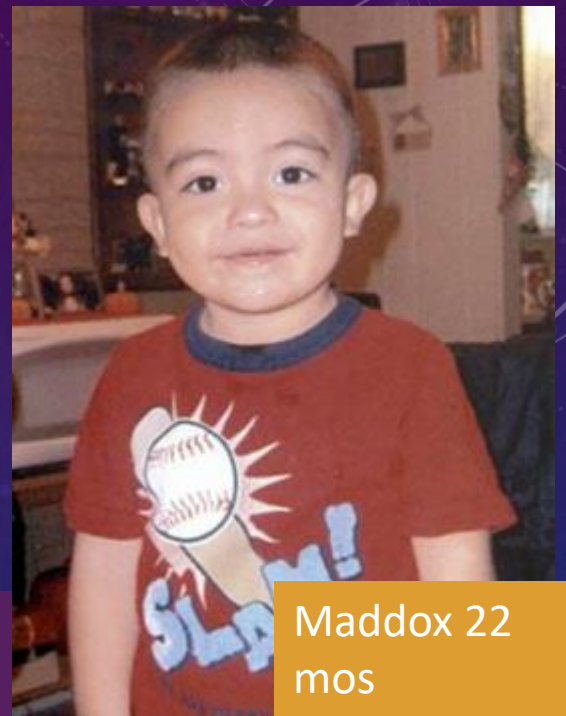
5 y.o Dylan  
Dill Man



8 y.o Raven



5 y.o  
Diamond



Maddox 22  
mos



17 y.o Jennifer



51 y.o Corey



13 y.o Marissa